

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

0010-0929-0X

First Named Inventor or Application Identifier

Yutaro KANEKO

Title

METHOD FOR INDUCING IMMUNITY TO VIRUSES

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility application contents

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification [Total Pages 46]

3. ☒ Drawing(s) [Total Sheets 6]

4. ☐ Oath or Declaration [Total Pages ]

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]

i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

**ACCOMPANYING APPLICATION PARTS**

6. ☐ Assignment Papers (cover sheet & document(s))

7. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

8. ☐ English Translation Document (if applicable)

9. ☐ Information Disclosure ☐ Copies of IDS  
Citations  
Statement (IDS)/PTO-1449

10. ☐ Preliminary Amendment

11. ☒ White Advance Serial No. Postcard

12. ☐ Small Entity ☐ Statement filed in prior app,  
Statement Status still proper and desired

13. ☐ Certified Copy of Priority Document(s)

14. ☒ Other: Letter Listing Names and Addresses of  
Inventors

15. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation

☐ Divisional

☐ Continuation-in-part (CIP) of prior application No:

16. Amend the specification by inserting before the first line the sentence:

This application is a

☐ Continuation

☐ Division

☐ Continuation-in-part (CIP) of application Serial No.

**17. CORRESPONDENCE ADDRESS**

OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.  
FOURTH FLOOR  
1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VIRGINIA 22202  
(703) 413-3000  
FAX NO. (703) 413-2220

Respectively submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEWSTADT, P.C.

*Sharon E. Crane*

Norman F. Oblon  
Attorney of Record  
Registration No.: 24,618

Sharon E. Crane, Ph.D.  
Registration No.: 36,113

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Yutaro KANEKO et al

Serial No: New Application

Filing Date: Herewith

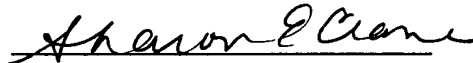
Title: METHOD FOR INDUCING IMMUNITY TO VIRUSES

**FEE TRANSMITTAL**Assistant Commissioner For Patents  
Washington, D.C. 20231

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS	
	TOTAL CLAIMS	20-20=	0	X \$22=	\$0.00	
	INDEPENDENT	3-3=	0	X \$82=	\$0.00	
	MULTIPLE DEPENDENT CLAIMS (if applicable)			+ \$270=	\$0.00	
	LATE FILING OF DECLARATION			+ \$130=	\$130.00	
				BASIC FEE		\$790.00
				TOTAL OF ABOVE CALCULATIONS =		\$920.00
	Reduction by 50% for filing by small entity				N/A	
	<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130=	\$0.00	
	<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40=	\$0.00	
	TOTAL				\$920.00	

- ☐ Please Charge My Deposit Account No. 15-0030 in the Amount of . A Duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$920.00 to cover the filing fee is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.
  
 Norman F. Oblon  
 Attorney of Record  
 Registration No. 24,618

Date

May 29, 1998

Sharon E. Crane, Ph.D.  
Registration No. 36,113
 Fourth Floor  
 1755 Jefferson Davis Highway  
 Arlington, Virginia 22202  
 (703) 413-3000  
 Fax No. (703) 413-2220

09087513-052898

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: :  
Yutaro KANEKO et al. :  
SERIAL NO.: New Application :  
FILED: Herewith :  
FOR: METHOD FOR INDUCING IMMUNITY TO VIRUSES

LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

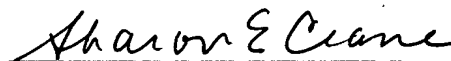
Listed below are the names and addresses of the inventors for the above-identified patent application.

1. Yutaro KANEKO  
c/o Ajinomoto Co., Inc.  
of No. 15-1, Kyobashi 1-chome, Chuo-ku  
Tokyo 104-8315, JAPAN
2. Danuta KOZBOR  
201 South, 18<sup>th</sup> Street  
Rittenhouse Claridge #319  
Philadelphia, Pennsylvania 19103

A Declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Norman F. Oblon  
Attorney of Record  
Registration No: 24,618

Fourth Floor  
1755 Jefferson Davis Highway  
Arlington, Virginia 22202  
(703) 413-3000  
Fax No. (703) 413-2220  
(OSMMN 1/97)

Sharon E. Crane, Ph.D.  
Registration No: 36,113

09087513-052898